
Obstructive Sleep Apnea and Cardiovascular Disease

Kenneth Wojnowski DO
Director, Chronic Respiratory Failure Clinic
Director, Inpatient Sleep Medicine Consult Service
Division of Pulmonary and Critical Care Medicine
Assistant Professor of Medicine UMASS Chan-Baystate

Disclosure

In the past 24 months, I have not had any financial relationships with any ineligible companies.

Objectives

- Review the epidemiology and pathophysiology of obstructive sleep apnea (OSA)
- Review the literature regarding OSA and cardiovascular disease (CVD)

Obstructive Sleep Apnea

Definition of OSA

Repetitive and reversible upper airway obstruction occurring during sleep

Hypopnea

Reduced airflow during sleep

Apnea

Absent airflow during sleep

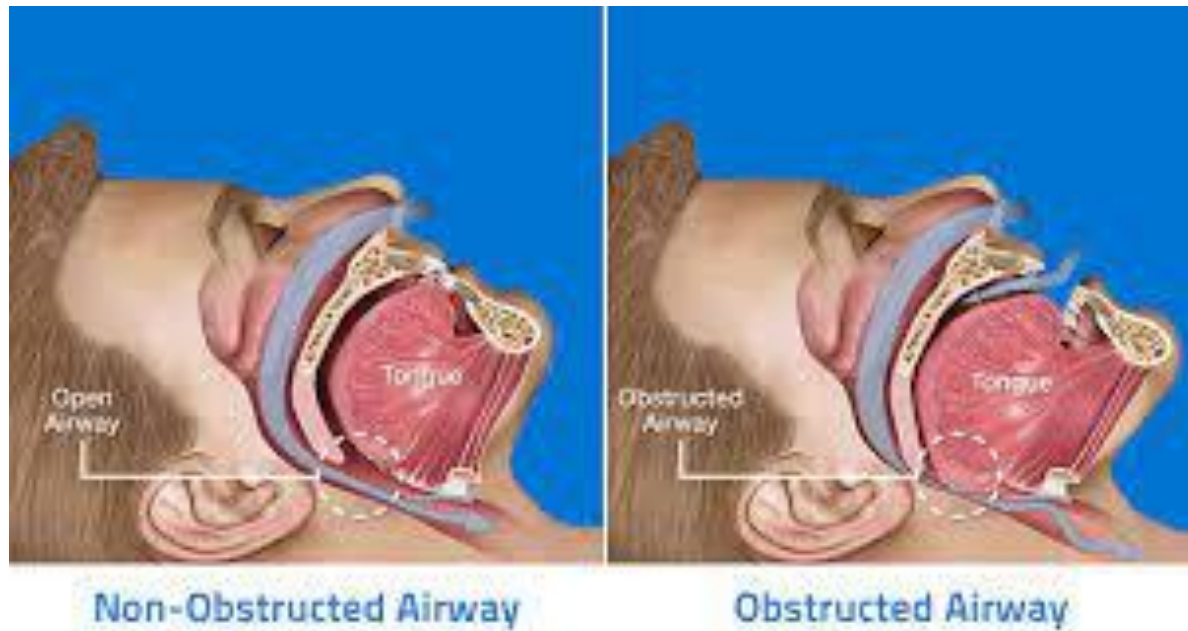
Physiologic Manifestation

Cortical arousal (determined by EEG) or oxygen desaturation $>3\%$ from baseline

Diagnostic Threshold

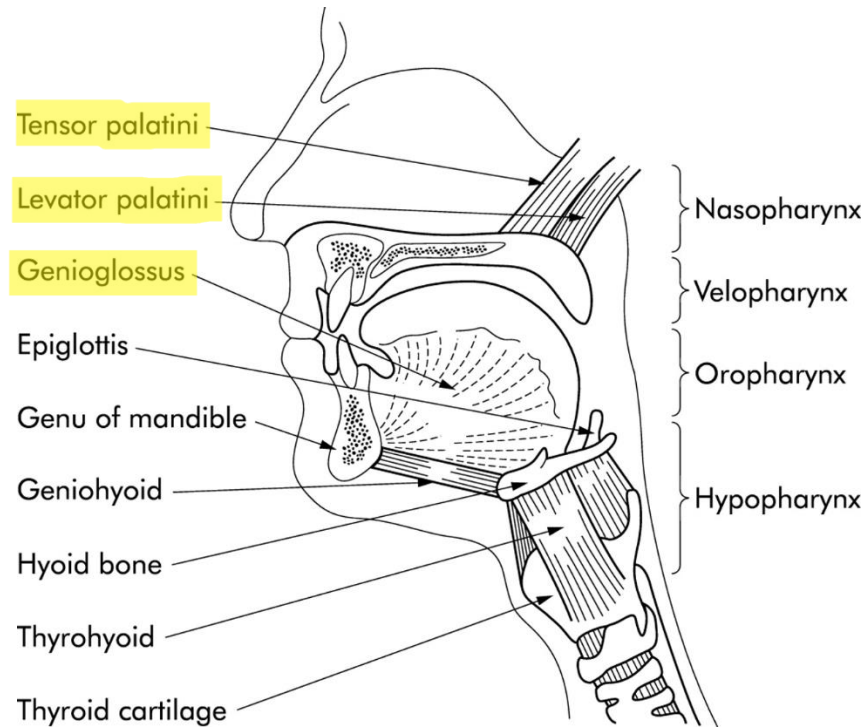
Greater than 5 events per hour

Obstructive Sleep Apnea



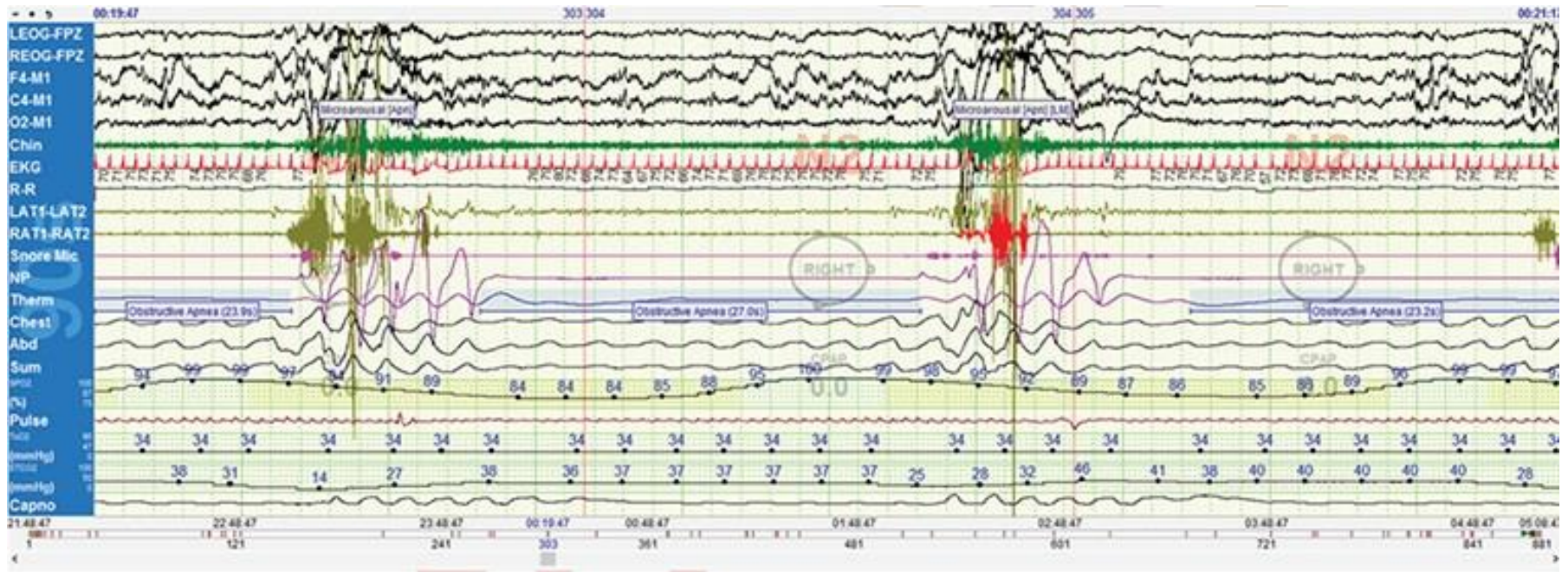
<https://www.centralavedentalny.com/blog/what-is-obstructive-sleep-apnea>

Obstructive Sleep Apnea



Poothrikovil et al

Obstructive Sleep Apnea



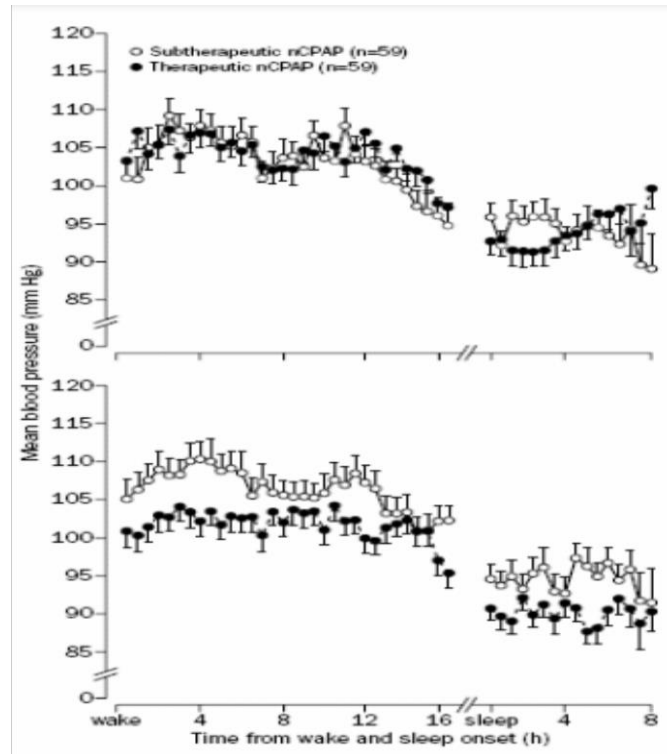
Epidemiology

- Sleep disordered breathing (SDB) is underrecognized throughout the world
 - 22 million Americans are estimated to have sleep apnea
 - 80% of cases with moderate to severe obstructive sleep apnea are undiagnosed
- Lancet Resp Medicine, Zhang et al (Aug 2025)
 - Global burden of obstructive sleep apnea will significantly increase over the next 3 decades
 - Prevalence of OSA will increase from 34.3% to 46.2% in the next 30 years (total case volume of 76.6 million cases)

OSA and CVD

- OSA is independently associated with
 - HTN
 - Stroke
 - CAD
 - HF
 - Atrial fibrillation
- Metabolic disorders
 - T2DM
 - Obesity
 - NAFLD

OSA and CVD



Initiation of CPAP (no change in BP)

4 weeks of CPAP: reduction in BP

OSA and CVD

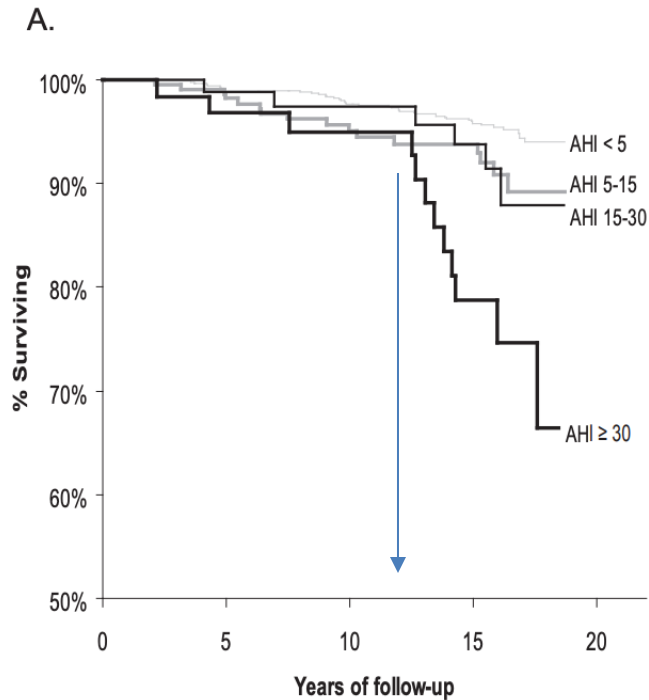
Table 6—Mortality Risk* With Untreated Sleep-Disordered Breathing (n = 1396)**

Baseline AHI category	All-cause mortality Hazard Ratio (95% CI)	Cardiovascular mortality Hazard Ratio (95% CI)
None: 0 - < 5	Reference	Reference
Mild: 5 - < 15	1.4 (0.7, 2.6)	1.3 (0.4, 4.1)
Moderate: 15 - < 30	1.7 (0.7, 4.1)	1.5 (0.3, 7.3)
Severe: ≥30	3.8 (1.6, 9.0)	5.2 (1.4, 19.2)
	P trend = 0.004	P-trend = 0.03

*Hazard ratios adjusted for age, age², sex, body mass index, and body mass index²

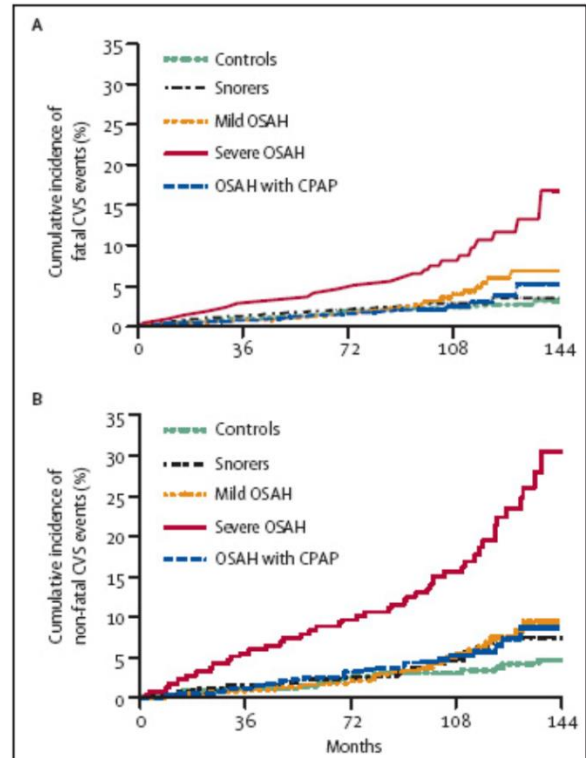
**126 persons who reported usual use of continuous positive air pressure (CPAP) ≥ 4 nights per week were excluded from sample
AHI denotes number of apnea and hypopnea events per hour of sleep, CI denotes confidence interval

OSA and Mortality



Increase in mortality with severe OSA begins 10-15 years after diagnosis

OSA and CVD



Increase in CV events
(fatal and non fatal) with
untreated severe OSA

Intermittent Hypoxia

- Intermittent hypoxia (IH) is the main mechanism associated with cardiovascular and metabolic complications of OSA
- Primarily studied in animal models mimicking the repetitive oxygen desaturation cycle seen in OSA
 - intima-media thickness increased in the aorta after exposure to IH
 - generates atrial remodeling and arrhythmias
 - responsible for increase in myocardial sensitivity to ischemia/reperfusion
 - induces remodeling (hypertrophy, apoptosis and fibrosis) as well as contractile dysfunction → leading to heart failure

Sympathetic activation

- During sleep, parasympathetic system predominates
- Patients with OSA have an increase in circulating or urinary catecholamines that directly correlates with AHI
- 1-2 weeks of IH showed signs of increased sympathetic activation (decrease in baroreflex sensitivity)
- Cortical arousals without hypoxia also cause sympathetic activation

Treatment of OSA and CV risk

- 4 major RCT's evaluating the effect of CPAP as a treatment for OSA in regards to cardiovascular risk
 - Barbe et. al (primary prevention, no prior CV event)
 - RICCADSA
 - SAVE
 - ISAAC
- All 4 failed to demonstrate a reduction in cardiovascular outcomes with the use of PAP in patients with OSA for either primary or secondary prevention of CVD
- Applying these RCT's to all patients with OSA is highly flawed

Limitations of RCTs

- Limited PAP adherence
 - Average of 3-5 hours per night across all 4 trials
 - Post hoc analysis demonstrating benefit in those who are more adherent to PAP may be confounded by “healthy user” effect
- Each of these trials were performed in “non-sleepy” patients
 - CPAP has previously been established to improve daytime sleepiness and has shown a reduction in car/workplace accidents
 - Would be unethical to withhold CPAP in a patient with OSA who exhibits excessive daytime sleepiness
 - Non-sleepy patients are less adherent to PAP

Limitations of RCTs

- PAP for primary prevention
 - Short term follow up in Barbe et al (4 years) probably underestimates the benefit of CPAP
- PAP for secondary prevention
 - Effect of PAP likely outweighed by the benefits of other CV therapies such as antihypertensives, statins, etc.
- OSA is a heterogenous disease
 - Patient with AHI of 15, daytime sleepiness and significant nighttime hypoxemia is at much greater CVD risk than patient with AHI of 15, no hypoxemia and not sleepy

Limitations of RCTs

- Recruitment
 - Patients were recruited from non-sleep clinic settings
 - Racial and ethnic inclusion not representative of US population
 - Limited inclusion of women
- Despite the lack of “gold standard” RCT’s demonstrating benefit of CPAP on CV risk reduction, observational studies, systematic reviews and meta-analyses continue to elucidate which patients may benefit most from CPAP usage

Other Literature

Original Investigation | Pulmonary Medicine

Positive Airway Pressure, Mortality, and Cardiovascular Risk in Older Adults With Sleep Apnea

Diego R. Mazzotti, PhD; Lemuel R. Waitman, PhD; Jennifer Miller, PhD, APRN-NP, ACNPC-AG; Krishna M. Sundar, MD; Nancy H. Stewart, DO, MS; David Gozal, MD, MBA, PhD; Xing Song, PhD; for the Greater Plains Collaborative

Key Points

Question Is positive airway pressure therapy associated with lower mortality and incidence of major adverse cardiovascular events among Medicare beneficiaries with obstructive sleep apnea?

Findings In this cohort study of 888 835 older adults with obstructive sleep apnea in the central US, participants with evidence of positive airway pressure therapy initiation had significantly lower all-cause mortality and major adverse cardiovascular events incidence risk when compared with those without evidence of therapy.

Meaning These results might inform future trials assessing the importance of obstructive sleep apnea therapies toward minimizing cardiovascular risk and mortality in older adults.

Other Literature



ERJ OPEN RESEARCH
REVIEW
M. BRADICICH ET AL.

Cardiovascular effects of obstructive sleep apnoea and effects of continuous positive airway pressure therapy: evidence from different study models

Matteo Bradicich ¹, Martino F. Pengo ², Joerg Steier ³ and Esther Irene Schwarz ^{1,4}

¹Department of Pulmonology, University Hospital Zurich, Zurich, Switzerland. ²Department of Cardiovascular, Neural and Metabolic Sciences, IRCCS Istituto Auxologico Italiano and Department of Medicine and Surgery, University of Milano-Bicocca, Milan, Italy. ³Lane Fox Respiratory Unit and Sleep Disorders Centre, Guy's and St Thomas' NHS Foundation Trust and Centre for Human Applied Physiological Science, Faculty of Life Sciences and Medicine, King's College London, London, UK. ⁴Centre of Competence Sleep and Health, University of Zurich, Zurich, Switzerland.

Other Literature

Results and conclusions There is high-level evidence of a causal relationship between OSA and arterial hypertension and endothelial dysfunction, as well as on higher MACE incidence among subgroups of patients with untreated OSA. The cardiovascular effects of OSA depend on the severity of OSA, symptoms, phenotype and comorbidities. The blood pressure-lowering effect of CPAP is mainly observed in uncontrolled and treatment-resistant hypertension. The MACE risk reduction in OSA depends on good long-term CPAP adherence. Younger, sleepy patients with more severe OSA, higher hypoxaemic burden and without overt cardiovascular end-organ disease may particularly benefit from CPAP treatment in terms of cardiovascular risk reduction. Randomised controlled trials of CPAP or other effective OSA treatments in primary cardiovascular prevention and in patients at highest risk are lacking.

Other Literature

ORIGINAL ARTICLE

Cardiovascular Benefit of Continuous Positive Airway Pressure in Adults with Coronary Artery Disease and Obstructive Sleep Apnea without Excessive Sleepiness

Ali Azarbarzin¹, Andrey Zinchuk², Andrew Wellman¹, Gonzalo Labarca¹, Daniel Vena¹, Laura Gell¹, Ludovico Messineo^{1,3}, David P. White¹, Daniel J. Gottlieb^{1,4}, Susan Redline¹, Yüksel Peker^{1,5,6,7,8*}, and Scott A. Sands^{1*}

¹Division of Sleep and Circadian Disorders, Brigham and Women's Hospital and Harvard Medical School, Boston, Massachusetts; ²Pulmonary, Critical Care, and Sleep Medicine, Yale Medicine, Yale University, New Haven, Connecticut; ³Adelaide Institute for Sleep Health, Flinders Health and Medical Research Institute, Flinders University, Bedford Park, Adelaide, South Australia, Australia; ⁴Medical Service, VA Boston Healthcare System, Boston, Massachusetts; ⁵Department of Pulmonary Medicine, Koc University, Istanbul, Turkey; ⁶Department of Molecular and Clinical Medicine, Sahlgrenska Academy, University of Gothenburg, Gothenburg, Sweden; ⁷Department of Clinical Sciences, Respiratory Medicine, and Allergology, Faculty of Medicine, Lund University, Lund, Sweden; and ⁸Division of Pulmonary, Allergy, and Critical Care Medicine, University of Pittsburgh School of Medicine, Pittsburgh, Pennsylvania

ORCID IDs: 0000-0002-4986-4753 (A.A.); 0000-0003-0488-9778 (A.Z.); 0000-0001-8812-8751 (D.J.G.).

Other Literature

Measurements and Main Results: The CPAP-related reduction in risk increased progressively with increasing pretreatment Δ HR (interaction hazard ratio [95% confidence interval], 0.49 [0.27 to 0.90] per SD increase in Δ HR; $P < 0.05$). This means that in patients with a Δ HR of 1 SD above the mean (i.e., 10 beats/min), CPAP was estimated to reduce cardiovascular risk by 59% (6% to 82%) ($P < 0.05$), but no significant risk reduction was estimated in patients with a mean Δ HR (6 beats/min; CPAP risk reduction, 16% [−53% to 54%]; $P = 0.6$).

Conclusions: The protective effect of CPAP in patients with CAD and OSA without excessive sleepiness was modified by the Δ HR. Specifically, patients with higher Δ HR exhibit greater cardiovascular benefit from CPAP therapy.

Keywords: heart rate response; sleepiness; sleep apnea; cardiovascular; clinical trial

Other Literature

The hypoxic burden of sleep apnoea predicts cardiovascular disease-related mortality: the Osteoporotic Fractures in Men Study and the Sleep Heart Health Study

Ali Azarbarzin^{1*}, Scott A. Sands¹, Katie L. Stone^{2,3}, Luigi Taranto-Montemurro¹, Ludovico Messineo¹, Philip I. Terrill⁴, Sonia Ancoli-Israel^{5,6}, Kristine Ensrud⁷, Shaun Purcell^{1,8}, David P. White¹, Susan Redline¹, and Andrew Wellman¹

¹Division of Sleep and Circadian Disorders, Brigham and Women's Hospital and Harvard Medical School, Sleep Disordered Breathing Lab, 221 Longwood Avenue, Boston, MA 02115, USA; ²Research Institute, California Pacific Medical Center, 550 16th Street, 2nd Floor, San Francisco, CA 94158, USA; ³Department of Epidemiology and Biostatistics, University of California, San Francisco, 550 16th St, San Francisco, CA 94158, USA; ⁴School of Information Technology and Electrical Engineering, The University of Queensland, Brisbane, Australia; ⁵Department of Psychiatry, University of California San Diego, 9500 Gilman Drive La Jolla, CA 92093, USA; ⁶Department of Medicine, University of California San Diego, 9500 Gilman Drive La Jolla, CA 92093, USA; ⁷University of Minnesota and Minneapolis Veterans Affairs Health Care System, 1 Veterans Dr, Minneapolis, MN 55417, USA; and ⁸Stanley Center for Psychiatric Research, Broad Institute of MIT & Harvard, 415 Main St, Cambridge, MA 02142, USA

Received 7 May 2018; revised 8 August 2018; editorial decision 17 September 2018; accepted 18 September 2018; online publish-ahead-of-print 30 October 2018

Other Literature

Aims

Apnoea–hypopnoea index (AHI), the universal clinical metric of sleep apnoea severity, poorly predicts the adverse outcomes of sleep apnoea, potentially because the AHI, a frequency measure, does not adequately capture disease burden. Therefore, we sought to evaluate whether quantifying the severity of sleep apnoea by the ‘hypoxic burden’ would predict mortality among adults aged 40 and older.

Methods and results

The samples were derived from two cohort studies: The Outcomes of Sleep Disorders in Older Men (MrOS), which included 2743 men, age 76.3 ± 5.5 years; and the Sleep Heart Health Study (SHHS), which included 5111 middle-aged and older adults (52.8% women), age: 63.7 ± 10.9 years. The outcomes were all-cause and Cardiovascular disease (CVD)-related mortality. The hypoxic burden was determined by measuring the respiratory event-associated area under the desaturation curve from pre-event baseline. Cox models were used to calculate the adjusted hazard ratios for hypoxic burden. Unlike the AHI, the hypoxic burden strongly predicted CVD mortality and all-cause mortality (only in MrOS). Individuals in the MrOS study with hypoxic burden in the highest two quintiles had hazard ratios of 1.81 [95% confidence interval (CI) 1.25–2.62] and 2.73 (95% CI 1.71–4.36), respectively. Similarly, the group in the SHHS with hypoxic burden in the highest quintile had a hazard ratio of 1.96 (95% CI 1.11–3.43).

Conclusion

The ‘hypoxic burden’, an easily derived signal from overnight sleep study, predicts CVD mortality across populations. The findings suggest that not only the frequency but the depth and duration of sleep related upper airway obstructions, are important disease characterizing features.

Keywords

Sleep apnoea • CVD mortality • Hypoxic burden • Polysomnography • Apnoea–hypopnoea index

Beyond the AHI: Precision Medicine

- Comorbidity clusters
- Anatomical variants
 - Absence of complete concentric collapse
- PSG clusters
 - Hypoxemia
 - Arousals
- “Symptom based” clusters
 - Excessively sleepy
 - Disturbed sleep (maintenance insomnia)
 - Minimally symptomatic

Cluster A

Subtype A: "Classic"

Feature	Level ^a
Age	Younger
Sex	Male
BMI	Obese
Symptoms	Sleepy, involuntary sleep, fatigued
Comorbidity	Low
PSG	AHI High T90% Medium



Risk:
Drowsy driving
Incident CVD

Treatment:
Most CPAP benefit
? CPAP alone

N_{stu} 1 2 3 4 5 6 7 8 9 10

Cluster B

**Subtype B:
Oldest, comorbid**

Feature	Level ^a
Age	Oldest
Sex	Male
BMI	Obese
Symptoms	Naps, snoring disturbs partner
Comorbidity	Highest
PSG	AHI High T90% High



Risk:
Low CPAP adherence
High prevalent CVD
No incident CVD risk

Treatment:
Least CPAP benefit
? Manage comorbidity

N_{stu} 1 2 3 4 5 6 7 8 9 10

Cluster C

Subtype C: Female, insomnia

Feature	Level ^a
Age	Middle age
Sex	Female
BMI	Overweight-obese
Symptoms	Difficulty falling asleep, early awakening, nonrestorative sleep
Comorbidity	Medium
PSG	AHI Medium T90% Medium



Risk:
Low CPAP adherence
? Lower incident
Stroke

Treatment:
Medium CPAP benefit
(apneic symptoms,
restful sleep)
? CBTi + CPAP

N_{stu} 1 2 3 4 5 6 7 8 9 10

Cluster D

Subtype D: Youngest, upper airway symptoms

Feature	Level ^a
Age	Youngest
Sex	Male
BMI	Nonobese
Symptoms	Snoring, sudden awakening, less sleepy (ESS low), ± insomnia
Comorbidity	Lowest
PSG	AHI High T90% Low



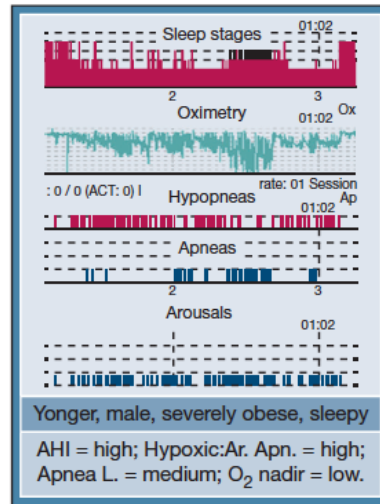
Risk:
Low CPAP adherence
Unknown CVD risk

Treatment:
Medium CPAP benefit (QOL)
? Alternative/adjunct treatments (eg, oral appliance, drugs)

N_{stu} 1 2 3 4 5 6 7 8 9 10

Cluster E

**Subtype E:
Severe, hypoxemic**

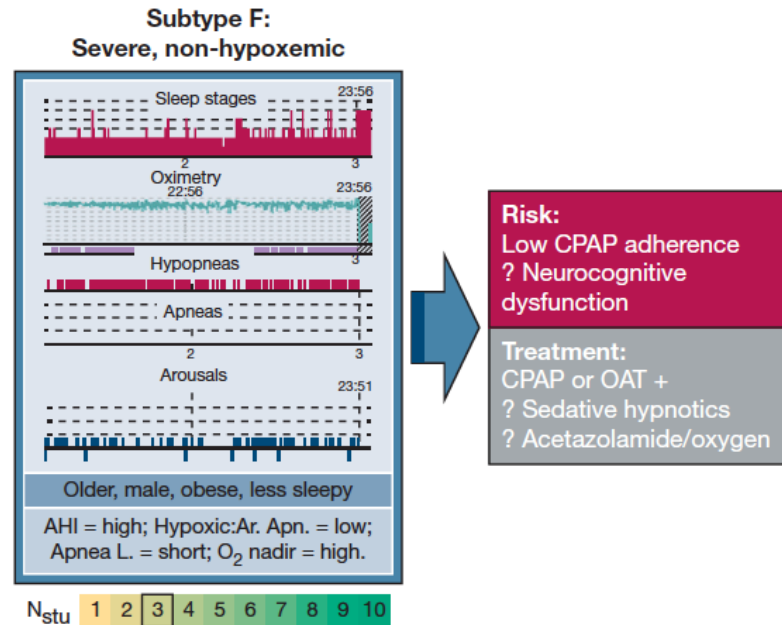


Risk:
Incident CVD

Treatment:
CPAP

Nstu 1 2 3 4 5 6 7 8 9 10

Cluster F



Where do we go from here?

- Early diagnosis
- Reframing the use of CPAP as “primary prevention” for CVD due to OSA
- Further studies to determine which “phenotypic clusters” of OSA benefit the most from CPAP
- Inpatient sleep medicine

Clinical Pearls in Cardiology

- Heart failure patients with severe sleep disordered breathing do not exhibit classical daytime sleepiness
 - Redeker et al (SLEEP 2010)
- Daytime sleepiness and severity of nocturnal hypoxemia are probably more important than the AHI
- PAP for primary prevention is probably more useful than secondary prevention
 - Identify and treat prior to the onset of CAD, CHF, afib
- Nasal interface is preferred over full facemask for PAP use
 - Don't give up on them! Try a different mask, daytime sensitization

Thank You

- Gottlieb, D. Punjabi, N. *Diagnosis and Management of Obstructive Sleep Apnea*. Journal of the American Medical Association. 2020 Apr 14; 323 (14) 1389-1400
- Pepperell et al. *Ambulatory blood pressure after therapeutic and subtherapeutic nasal continuous positive airway pressure for obstructive sleep apnoea: a randomized parallel trial*. The Lancet. 359;9302 (Jan 2002) 204-210
- Young et al. *Sleep Disordered Breathing and Mortality: Eighteen-Year Follow-up of the Wisconsin Sleep Cohort*. SLEEP. 31;8, 2008
- Marin et al. *Long-term cardiovascular outcomes in men with obstructive sleep apnoea-hypopnea with or without treatment with continuous positive airway pressure; an observational study*. Lancet 2005; 365: 1046-53
- St Onge et al. *Multidimensional Sleep Health: Definitions and Implications for Cardiometabolic Health: A Scientific Statement From the American Heart Association*. Circ Cardiovasc Qual Outcomes. May 2025: 18:e000139
- Cappuccio, F. Miller, M. *Sleep and Cardio-Metabolic Disease*. Curr Cardiol Rep (2017) 19:110
- Zinchuk et al. *Phenotypic Subtypes of OSA: A Challenge and Opportunity for Precision Medicine*. CHEST. 2020 Feb;157(2): 403-420